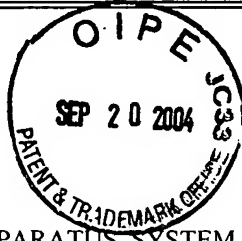


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

JFW

Applicant(s): JANSSEN, Terrance E.      Group Art Unit: 3753  
 Serial No.: 10/721,698      Examiner: : Unknown  
 Filed: November 25, 2003      Docket No.: 315.00010101  
 Confirmation No.: 6282  
 Title: HEAT EXCHANGE APPARATUS, SYSTEM AND METHODS REGARDING SAME



Commissioner for Patents  
 Mail Stop Amendment  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.
- ☒ A Supplemental Information Disclosure Statement (2 pgs); 1449 form (1 pg); International Search Report (4 pgs); and copies of 5 documents cited on the 1449 form.
- ☐ A check in the amount of \$\_\_, representing \_\_.
- ☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.
- ☐ Other: \_\_.
- ☐ Amendment      ☐ No Additional fee is required.      ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$43 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$145 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17<sup>th</sup> day of Sept, 2004.

MUETING, RAASCH & GEBHARDT, P.A.  
 Customer Number: 26813

By:   
 Name: Mark J. Gebhardt  
 Reg. No.: 35,518  
 Direct Dial: 612-305-1216  
 Facsimile: 612-305-1228





PATENT  
Docket No. 315.00010101

~~IN~~ THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	JANSSEN, Terrance E.	)	Group Art Unit:	3753
		)		
Serial No.:	10/721,698	)	Examiner:	Unknown
Confirmation No.:	6282	)		
		)		
Filed:	November 25, 2003	)		
		)		
For:	HEAT EXCHANGE APPARATUS, SYSTEM, AND METHODS REGARDING SAME			

## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith, which are cited on the enclosed International Search Report (mailed April 19, 2004), are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form are respectfully requested. As this patent application was filed after June 30, 2003, copies of the U.S. patents and U.S. patent application publications listed on the attached 1449 form have not been submitted. Pursuant to the provisions of M.P.E.P. § 609, Applicant further requests that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.



Serial No. 10/721,698


Filed: November 25, 2003

HEAT EXCHANGE APPARATUS, SYSTEM, AND METHODS REGARDING SAME

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17<sup>th</sup> day of Sept, 2004.

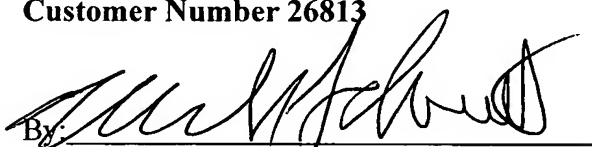
  
Mark J. Gebhardt

17 Sept 2004  
Date

MJG/sjt

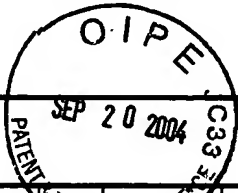
Respectfully submitted for  
JANSSEN, Terrance E.

By  
Mueting, Raasch & Gebhardt, P.A.  
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By: \_\_\_\_\_  
Mark J. Gebhardt  
Reg. No. 35,518  
Direct Dial (612)305-1216



<b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 315.0001 0101	Serial No.: 10/721,698
	Applicant(s): JANSSEN	Confirmation No.: 6282
	Application Filing Date: 11/25/03	Group: 3753
	Information Disclosure Statement mailed: <i>September 17, 2004</i>	



## U.S. PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		4,502,533	03/05/85	Nielsen			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	✓	2298768A	08/20/76	FR			X	
	✓	2543270A	09/28/84	FR			X	
	✓	2544475A	10/19/84	FR			X	
	✓	2669717A	05/29/92	FR			X	

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
	✓	MASAYOSHI, Usui, "EGR GAS COOLING SYSTEM," Usui International Ind. Co. Ltd., Patent Abstracts of Japan, Vol. 1, No. 07, 31 July 1997 (JP9088730 A, 31 March 1997). Abstract

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	